

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER

the specification of which:

☐ is attached hereto.

☒ was filed on May 2, 2000, and identified as Serial No. 09/563,946.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

60/185,561  
(Application Number)

February 28, 2000  
(Filing Date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of sole or 1 inventor:** MACHOLD, Timothy R.

Inventor's signature

Timothy R Machold Date 5/8/00

Residence:

Moss Beach, California

Citizen of:

U.S.A.

Post Office Address:

65 Bernal Avenue  
Moss Beach, CA 94038

**Full name of sole or 2 inventor:** BLOOM, Nicole Denise

Inventor's signature

Nicole Bloom Date 5/8/00

Residence:

San Francisco, California

Citizen of:

USA

Post Office Address:

925 Guerrero Street, #11  
San Francisco, CA 94110

**Full name of sole or 3 inventor:** ROTH, Alex T.

Inventor's signature

Alex T. Roth Date 5/8/00

Residence:

Redwood City, California

Citizen of:

USA

Post Office Address:

1354 Regent Street  
Redwood City, CA 94061

**Full name of sole or 4 inventor:** SCOTT, Dave J.

Inventor's signature

Dave Scott Date 5/8/2000

Residence:

Santa Clara, California

Citizen of:

USA

Post Office Address:

2435 Armstrong Place  
Santa Clara, CA 95050

**Full name of sole or 5 inventor:** ALEJANDRO, Jose

Inventor's signature

Date

05/08/00

Residence:

San Jose, California

Citizen of:

USA

Post Office Address:

375 Kincora Court  
San Jose, CA 95136

**Full name of sole or 6 inventor:** ~~OLIVER, Ed~~ OLIVER, Edward A.

Inventor's signature

Date

5-8-00

Residence:

Los Gatos, California

Citizen of:

USA

Post Office Address:

15910 Union Avenue  
Los Gatos, CA 95032

Please type a plus sign (+) inside this box → ☐

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/563,946
Filing Date	5/2/2000
First Named Inventor	Machold, T. et al.
Group Art Unit	3737
Examiner Name	Unassigned
Attorney Docket Number	RADME-58544

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number

OR

Place Customer  
Number Bar Code  
Label here

☐ Firm or  
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name

Paul M. Stull, General Counsel/Assistant Secretary  
Radiant Medical, Inc.

Signature

*Paul M. Stull*

Date

*November 7, 2001*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/563,946
Filing Date	5/2/2000
First Named Inventor	Machold, T. et al.
Title	DISPOSABLE CASSETTE...
Group Art Unit	3737
Examiner Name	Unassigned
Attorney Docket Number	RADME-58544

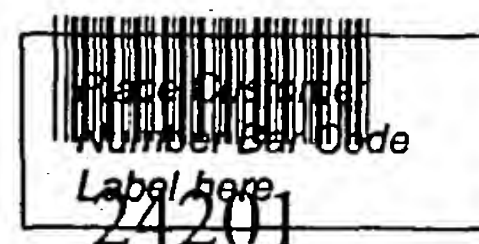
I hereby appoint:

☒ Practitioners at Customer Number

OR

☐ Practitioner(s) named below:

Name	Registration Number



PATENT TRADEMARK OFFICE

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

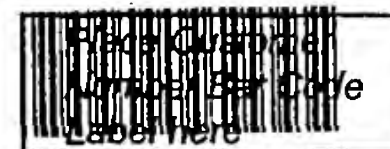
☐ The above-mentioned Customer Number.

OR

☒ Practitioners at Customer Number

OR

☐ Firm or  
Individual Name



24201

PATENT TRADEMARK OFFICE

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

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Name

Paul M. Stull, General Counsel/Assistant Secretary  
Radiant Medical, Inc.

Signature

*Paul M. Stull*

Date

November 7, 2001

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Machold, T. et al.Application No./Patent No.: 09/563,946 Filed/Issue Date: 5/2/2000Entitled: DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETERRadiant Medical, Inc., a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 1313, Frame 0115, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

November 7, 2001  
Date

Paul M. Stull

Typed or printed name

Paul M. Stull  
Signature

General Counsel/Assistant Secretary

Title





UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

RADME  
58544

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APR 17 2003

APRIL 17, 2003

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FULWIDER PATTON LEE ET AL.  
JOHN K. FITZGERALD, ESQ.  
6060 CENTER DRIVE  
10TH FLOOR  
LOS ANGELES, CA 90045



\*102314429A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0582

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

OLIVER, EDWARD A.

DOC DATE: 11/18/2002

ASSIGNEE:

RADIANT MEDICAL, INC.  
250 CHESAPEAK DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946

FILING DATE: 05/02/2000

PATENT NUMBER:

ISSUE DATE:

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2002

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102314429

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Edward A. Oliver

12-16-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

Assignment  
nunc pro tunc

Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: 11/18/2002

## 2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address: \_\_\_\_\_

Street Address: 250 Chesapeake Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Fitzgerald, Esq.

Internal Address: \_\_\_\_\_

FULWIDER PATTON LEE &amp; UTECHT, LLP

Street Address: 6060 Center Drive,

10th Floor

City: Los Angeles State: CA Zip: 90045

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account  
for any deficiencies in fees

## 8. Deposit account number:

06-2425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

Signature

12/9/2002

Date

12/18/2002 DBYRNE 00000026 09563946 Total number of pages including cover sheet, attachments, and documents: 3

01 FC:802

40.00 UP

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



## ASSIGNMENT

This Assignment made by Edward A. Oliver residing at 1120 Pond View Dr., Folsom, CA 95630, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

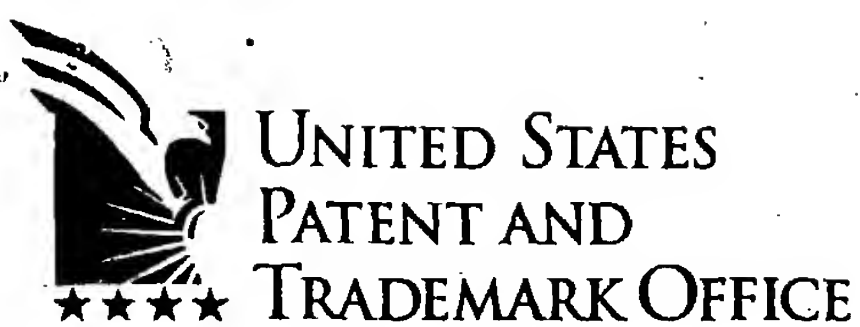
IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

Assignor:

Dated: 11-18-02

Edward A. Oliver  
Edward A. Oliver

Assignment Radiant (Oliver).vmm.wpd



RADME  
58544

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APR 21 2003

APRIL 17, 2003

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Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

FULWIDER PATTON LEE & ET AL  
JOHN K. FITZGERALD  
6060 CENTER DRIVE, 10TH FL.  
LOS ANGELES, CA 90045



\*102314474A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0826

NUMBER OF PAGES: 3

BRIEF: NUNC PRO TUNC ASSIGNMENT (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALEJANDRO, JOSE

DOC DATE: 10/22/2002

ASSIGNEE:

RADIANT MEDICAL, INC.  
250 CHESAPEAKE DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946

FILING DATE: 05/02/2000

PATENT NUMBER:

ISSUE DATE:

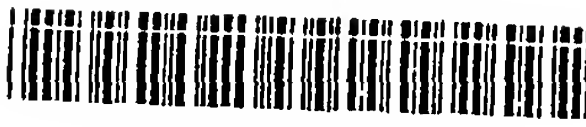
SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2002

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102314474

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jose Alejandro

12-16-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
nunc pro tunc  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 10/22/2002

2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address: \_\_\_\_\_

Street Address: 250 Chesapeake Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Fitzgerald, Esq.

Internal Address: \_\_\_\_\_

FULWIDER PATTON LEE & UTECHT, LLP

Street Address: 6060 Center Drive,

10th Floor

City: Los Angeles State: CA Zip: 90045

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account for any deficiencies in fees

8. Deposit account number:

06-2425

(Attach duplicate copy of this page if paying by deposit account)

FINANCE SECTION

2002 DEC 16 PM 1:32

RECORDS

/18/2002

FC:0021

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

[Signature]  
Signature

12/9/2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

This Assignment made by Jose Alejandro residing at 375 Kincora Court, San Jose, CA 95136, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

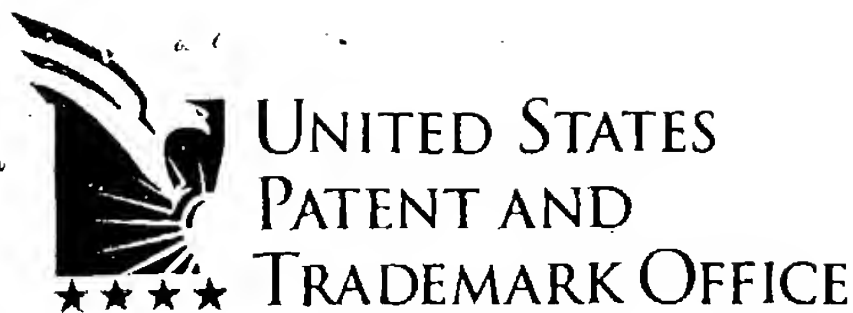
Assignor:

Dated: 10/22/02

  
\_\_\_\_\_  
Jose Alejandro

254260.1





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58544

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2003

APRIL 17, 2003

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FULWIDER PATTON LEE & UTECHT, LLP  
JOHN K. FITZGERALD, ESQ.  
6060 CENTER DR.  
10TH FLOOR  
LOS ANGELES, CA 90045

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
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RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0814  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCOTT, DAVE J.

DOC DATE: 10/22/2002

ASSIGNEE:

RADIANT MEDICAL, INC.  
250 CHESAPEAKE DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946  
PATENT NUMBER:

FILING DATE: 05/02/2000  
ISSUE DATE:

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2002



Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. Patent and Trademark Office

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1. Name of conveying party(ies):

Dave J. Scott

12-16-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
nunc pro tunc  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 10/22/2002

2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address:

Street Address: 250 Chesapeake Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Fitzgerald, Esq.

Internal Address:

FULWIDER PATTON LEE & UTECHT, LLP

Street Address: 6060 Center Drive,

10th Floor

City: Los Angeles State: CA Zip: 90045

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account for any deficiencies in fees

8. Deposit account number:

06-2425

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

Signature

12/9/2002

Date

2002 DEBYNE 00000030 09563946 Total number of pages including cover sheet, attachments, and documents: 3

FC:0021

40.00 UP

Mail documents to be recorded with required cover sheet information to:  
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Washington, D.C. 20231

## ASSIGNMENT

This Assignment made by Dave J. Scott residing at 2435 Armstrong Place, Santa Clara, CA 95050, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

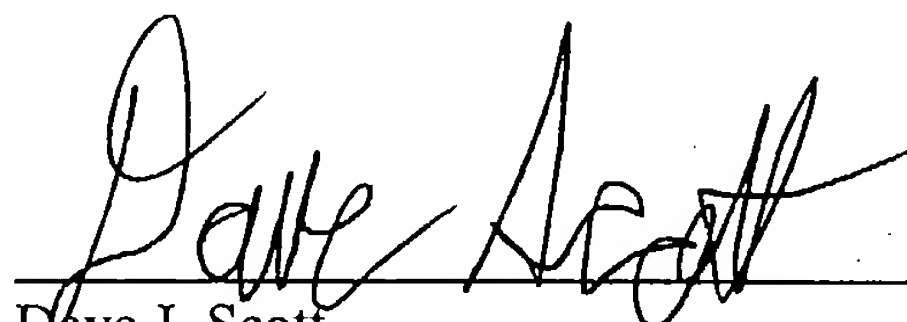
Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

Assignor:

Dated:

10/22/02

  
Dave J. Scott

254259.1



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

RADME-  
58544

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BY DOCKET DEPT.

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APRIL 17, 2003

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Under Secretary of Commerce For Intellectual Property and  
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Washington, DC 20231  
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FULWIDER PATTON LEE & UTECHT, LLP  
JOHN D. FITZGERALD, ESQ.  
6060 CENTER DRIVE  
10TH FLOOR  
LOS ANGELES, CA 90045



\*102314428A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
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CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0603  
NUMBER OF PAGES: 3

BRIEF: NUNC PRO TUNC ASSIGNMENT EFFECTIVE MAY 8, 2000.

ASSIGNOR:

ROTH, ALEX T.

DOC DATE: 11/11/2002

ASSIGNEE:

RADIANT MEDICAL, INC.  
250 CHESAPEAKE DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946  
PATENT NUMBER:

FILING DATE: 05/02/2000  
ISSUE DATE:

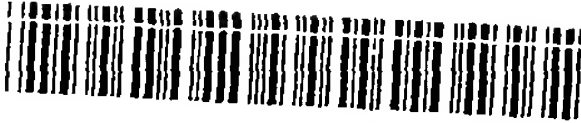
KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2002

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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102314428

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alex T. Roth

12-16-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
nunc pro tunc  
☐ Security Agreement  
☐ Other \_\_\_\_\_
- ☐ Merger  
☐ Change of Name

Execution Date: 11/11/2002

2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address: \_\_\_\_\_

Street Address: 250 Chesapeake Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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Name: John K. Fitzgerald, Esq.

Internal Address: \_\_\_\_\_

FULWIDER PATTON LEE & UTECHT, LLP

Street Address: 6060 Center Drive,

10th Floor

City: Los Angeles State: CA Zip: 90045

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account  
for any deficiencies in fees

8. Deposit account number:

06-2425

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

[Signature]  
Signature

12/9/2002

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 3

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Washington, D.C. 20231

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## ASSIGNMENT

This Assignment made by Alex T. Roth residing at 1354 Regent St., Redwood City, CA 94061, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

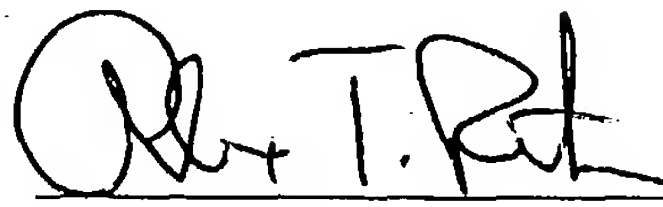
Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

Assignor:

Dated: 11/11/2002

  
\_\_\_\_\_  
Alex T. Roth

Assignment Radiant (Roth).wpd



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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APRIL 17, 2003

FULWIDER PATTON LEE & UTECHT, LLP  
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LOS ANGELES, CA 90045

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0610  
NUMBER OF PAGES: 3

BRIEF: NUNC PRO TUNC ASSIGNMENT (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BLOOM, NICOLE DENISE

DOC DATE: 11/02/2002

ASSIGNEE:

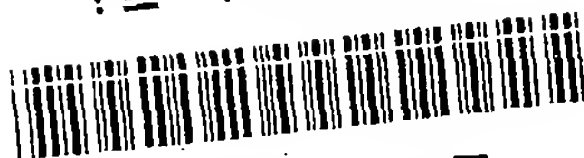
RADIANT MEDICAL, INC.  
250 CHESAPEAKE DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946  
PATENT NUMBER:

FILING DATE: 05/02/2000  
ISSUE DATE:

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-18-2002



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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Nicole Denise Bloom

12-16-02

## 2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

Assignment  
nunc pro tunc

Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: 250 Chesapeake DriveCity: Redwood City State: CA Zip: 94063Execution Date: 11/2/2002Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Fitzgerald, Esq.

Internal Address: \_\_\_\_\_

FULWIDER PATTON LEE & UTECHT, LLPStreet Address: 6060 Center Drive,10th FloorCity: Los Angeles State: CA Zip: 900456. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account  
for any deficiencies in fees

## 8. Deposit account number:

06-2425

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

Signature

12/9/2002

Date

12/18/2002 DBYRNE

00000028 09563946

Total number of pages including cover sheet, attachments, and documents: 3

01 FC:8021

40.00 documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

This Assignment made by Nicole Denise Bloom residing at 1142 Delaware St., Berkeley, CA 94702, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes <sup>her</sup>himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, ~~he~~<sup>he</sup> and ~~his~~<sup>her</sup> legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that ~~he~~<sup>he</sup> has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

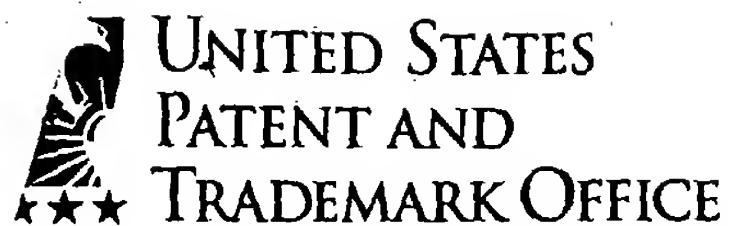
Assignor:

Dated: 11/2/02

Nicole Denis Bloom  
Nicole Denis Bloom

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APRIL 17, 2003

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JOHN K. FITZGERALD, ESQ

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LOS ANGELES, CA 90045

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RECORDATION DATE: 12/16/2002

REEL/FRAME: 013575/0572

NUMBER OF PAGES: 3

BRIEF: NUNC PRO TUNC ASSIGNMENT (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MACHOLD, TIMOTHY R.

DOC DATE: 10/25/2002

ASSIGNEE:

RADIANT MEDICAL, INC.

250 CHESAPEAKE DRIVE

REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09563946

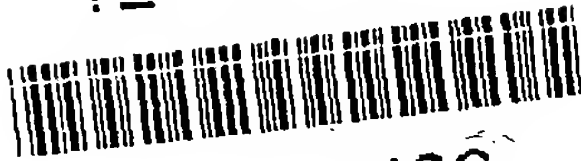
FILING DATE: 05/02/2000

PATENT NUMBER:

ISSUE DATE:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
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12-18-2002



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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. Patent and Trademark Office

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## 1. Name of conveying party(ies):

Timothy R. Machold

12-16-02

## 2. Name and address of receiving party(ies)

Name: RADIANT MEDICAL, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



nunc pro tunc



Security Agreement



Change of Name



Other

Street Address: 250 Chesapeake Drive

City: Redwood City State: CA Zip: 94063

Execution Date: 10/25/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/563,946

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Fitzgerald, Esq.

Internal Address:

FULWIDER PATTON LEE &amp; UTECHT, LLP

Street Address: 6060 Center Drive,

10th Floor

City: Los Angeles State: CA Zip: 90045

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account for any deficiencies in fees

## 8. Deposit account number:

06-2425

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

00000029 09563946

Signature

12/9/2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

12/18/2002 DBYRNE

01 FC:8021

## ASSIGNMENT

This Assignment made by Timothy R. Machold residing at 65 Bernal Avenue, Moss Beach, California 94038, Assignor, to RADIANT MEDICAL, INC. Assignee, having a place of business at 250 Chesapeake Drive, Redwood City, California 94063 is effective, nunc pro tunc, as of May 8, 2000.

WHEREAS, Assignor has invented a new and useful DISPOSABLE CASSETTE FOR INTRAVASCULAR HEAT EXCHANGE CATHETER, for which an application for United States Patent was filed in the U.S. Patent and Trademark Office on May 2, 2000 as Serial No. 09/563,946; and

WHEREAS, Assignor believes himself to be the original, first inventor of the invention disclosed and claimed in said application Serial No. 09/563,946 for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application Serial No. 09/563,946 and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

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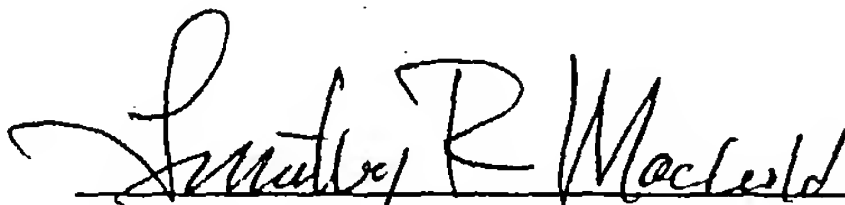
Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application Serial No. 09/563,946 or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written hereinbelow.

Assignor:

Dated:

10/25/02

  
Timothy R. Machold

254190.1